for the month of 31-JAN-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 12-FEB-2018 14:26:50

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	16,043,418	16,043,418	
1.2	Intangible Assets	417,805	417,805	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	1,748,847,804	272,339,981	1,476,507,823
	respective securities whichever is higher.	1,1 12,2 11,22 1	,_,	.,,
	ii. If unlisted, 100% of carrying value. iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	610,000	610,000	
1.0	or any other entity.	,	,	
1.9	Margin deposits with exchange and clearing house.	20,000,000	0	20,000,000
1.10 1.11	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	7,500,000	7,500,000	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	1,000,000	1,000,000	
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
1.16	ii. Receivables other than trade receivables	257,167,746	257,167,746	
1.10	Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all	4,532,304	4,532,304	
	markets including MtM gains.	4,552,504	4,002,004	
4.47	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	12,410,680	0	12,410,680
	iv. Balance sheet value	, ,		
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	1,366,035	24,868,114	1,366,035
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1 10	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances i. Bank Balance-proprietory accounts	928,121	0	928,121
	ii. Bank balance-customer accounts	19,764,456	0	19,764,456
	iii. Cash in hand	2,316		

for the month of 31-JAN-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 12-FEB-2018 14:26:50

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	2,089,590,685	583,479,368	1,530,979,431
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable to customers	19,764,456	0	19,764,456
2.2	Current Liabilities	, , , , , , , , , , , , , , , , , , , ,		-, -,
	i. Statutory and regulatory dues	258	0	258
	ii. Accruals and other payables iii. Short-term borrowings	637,516,300	0	2 637,516,300
	iv. Current portion of subordinated loans	037,310,300	0	037,310,300
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP	20,983,081	0	20,983,081
	in substituting the conditions specified by seen	20,300,001	ŭ	20,300,001
2.5	Total Liabilites	678,264,097	0	678,264,097
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing			
0.2	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
3.4	(b) in any other case: 12.5% of the net underwriting commitments Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			
-		1		

for the month of 31-JAN-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 12-FEB-2018 14:26:50

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financeelseller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collaterall pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
3.11	after applying haircuts.	0	0	
	Total Ranking Liabilites		U	252 545 224
3.12	Liquid Capital	1,411,326,588	583,479,368	852,715,334

for the month of 28-FEB-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 15-MAR-2018 12:08:29

1. Assets 1.1. Property & Equipment 1.2. Interruptive Aysts 1.2. Interruptive Aysts 1.3. Interruptive Aysts 1.4. Interruptive Aysts 1.5. Annual	et Adjusted
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1.2 Integration 16,043,418 16,043,418 16,043,418 16,043,418 17,805 17,805 17,805 18,805 1	
1.2 intrangible Assets 417,805 417,805 1.3 investment in Debt. Securities	
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haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of	
securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.	
1.18 Cash and Bank balances	
i. Bank Balance-proprietory accounts 701,518 0	701,518
ii. Bank balance - customer accounts 14,628,767 0	14,628,767
iii. Cash in hand 2,316 0	2,316

for the month of 28-FEB-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 15-MAR-2018 12:08:29

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1.19	Total Assets	2,094,613,180	548,060,734	1,578,543,962
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products	44 507 644	0	14 507 011
2.2	iii. Payable to customers	14,597,611	0	14,597,611
2.2	Current Liabilities	406	0	406
	i. Statutory and regulatory dues ii. Accruals and other payables	400	0	
	iii. Short-term borrowings	673,271,710	0	673,271,710
	iv. Current portion of subordinated loans	0.0,2,0		0,0,2,1,110
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
2.0				
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	0	0	
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	20,088,530	0	20,088,530
0.5		707.050.050		707.050.050
2.5	Total Liabilites	707,958,259	0	707,958,259
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
2.0	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4				
3.7	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
1	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

for the month of 28-FEB-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 15-MAR-2018 12:08:29

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collaterall pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
3.10	extent not already met Short sellI positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	1,386,654,921	548,060,734	870,585,703

for the month of 31-MAR-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 11-APR-2018 16:15:01

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	16,043,418	16,043,418	
1.2	Intangible Assets	417,805	417,805	
1.3	Investment in Govt. Securities			
1.4	Investment in Debt. Securities			
	If listed than: i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	1,834,105,705	283,605,371	1,550,500,334
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.			
	iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	610,000	610,000	
	or any other entity.	,	· _	
1.9 1.10	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	24,000,000	0	24,000,000
1.10	Other deposits and prepayments	7,500,000	7,500,000	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,	
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	0	0	
		-	-	
1.16	ii. Receivables other than trade receivables	214,167,744	214,167,744	
1.10	Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all	18,927,989	18,927,989	
	markets including MtM gains.	10,927,909	10,921,909	
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	11,447,454	0	11,447,454
	iv. Balance sheet value	11,447,434	0	11,447,434
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	435,460	41,026,022	435,460
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	427,788	0	
	ii. Bank balance-customer accounts iii. Cash in hand	19,789,434 2,316	0	
		_,		_,

for the month of 31-MAR-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 11-APR-2018 16:15:01

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1.19	Total Assets	2,147,875,113	582,298,349	1,606,602,786
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	19,789,433	0	19,789,433
2.2	Current Liabilities	10,700,400	O	13,703,403
	i. Statutory and regulatory dues	1,515	0	1,515
	ii. Accruals and other payables	2	0	2
	iii. Short-term borrowings	643,482,938	0	643,482,938
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	0	0	
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP	23,927,325	0	23,927,325
	ii. Sabbianatea ioans which ab not famili the conditions specified by Scor	20,921,020	0	25,921,525
2.5	Total Liabilites	687,201,213	0	687,201,213
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	If the Baircut multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
3.6	assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO			
3.7	Repo adjustment			
	hope adjacenom			

for the month of 31-MAR-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 11-APR-2018 16:15:01

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received, less value of any securites deposited as collateral by the purchaser after			
3.8	applying haircut less any cash deposited by the purchaser.			
	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collaterall pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
2.11	after applying haircuts.	0	0	
3.11	Total Ranking Liabilites	Ŭ	ŭ	
3.12	Liquid Capital	1,460,673,900	582,298,349	919,401,573

for the month of 30-APR-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 14-MAY-2018 17:59:01

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
1.1	Property & Equipment	16,043,418	16,043,418	
1.2	Intangible Assets	417,805	417,805	
1.3	Investment in Govt. Securities	,,,,,	,	
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than: i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	2,067,973,589	317,488,554	1,750,485,035
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
10	ii. If unlisted, 100% of net value.	040.000	040.000	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	610,000	610,000	
1.9	Margin deposits with exchange and clearing house.	24,500,000	0	24,500,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	,,		_ ,,,,,,,,,
1.11	Other deposits and prepayments	7,500,000	7,500,000	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	129,167,745	129,167,745	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all	4,155,109	4,155,109	
	markets including MtM gains.			
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	18,136,668	0	18,136,668
	iv. Balance sheet value	0.005.005	40.070.700	0.005.00
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	3,965,097	12,870,723	3,965,097
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.	<u> </u>		
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	511,549	0	511,549
	ii. Bank balance-customer accounts iii. Cash in hand	14,703,024 2,316	0	14,703,024 2,316
	III. Oddi III Hand	2,310	U	2,310

for the month of 30-APR-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 14-MAY-2018 17:59:01

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	2,287,686,320	488,253,354	1,812,303,689
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	14,951,295	0	14,951,295
2.2	Current Liabilities	14,551,255	0	14,551,255
	i. Statutory and regulatory dues	4,371	0	4,371
	ii. Accruals and other payables	2	0	
	iii. Short-term borrowings iv. Current portion of subordinated loans	803,367,539	0	803,367,539
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.	40 400 445		10 100 115
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	19,169,115	0	19,169,115
2.5	Total Liabilites	837,492,322	0	837,492,322
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
2.0	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
3.3	borrowed			
3.3	Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
2.5	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

for the month of 30-APR-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 14-MAY-2018 17:59:01

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financeelseller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of			
0.0	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11		0	0	
	Total Ranking Liabilites		400.050.054	074 044 007
3.12	Liquid Capital	1,450,193,998	488,253,354	974,811,367

for the month of 31-MAY-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 11-JUN-2018 14:46:37

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
1.1	Property & Equipment	16,043,418	16,043,418	
1.2	Intangible Assets	417,805	417,805	
1.3	Investment in Govt. Securities	,000	111,000	
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	1,542,890,635	242,533,632	1,300,357,003
	respective securities whichever is higher.	, , ,		
	ii. If unlisted, 100% of carrying value.			
	iii. Subscription money against Investment in IPOloffer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
'''	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	610,000	610,000	
1.0	or any other entity.	14,000,000	0	14 000 000
1.9 1.10	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	14,000,000	U	14,000,000
1.11	Other deposits and prepayments	7,500,000	7,500,000	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	, ,	,,	
	etc.(Nil)			
1.10	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.13	Amounts receivables against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	129.467.707	129,467,707	
1.16	Receivables from clearing house or securities exchange(s)	129,407,707	129,407,707	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all	6,252,934	6,252,934	
	markets including MtM gains.	0,232,934	0,232,334	
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	15,152,879	0	15,152,879
	iv. Balance sheet value	15,152,079	0	15,152,679
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	421,306	37,313,948	421,306
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
1.10	i. Bank Balance-proprietory accounts	624,412	0	624,412
	ii. Bank balance-customer accounts	20,469,442		
	iii. Cash in hand	2,316		

for the month of 31-MAY-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 11-JUN-2018 14:46:37

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1.19	Total Assets	1,753,852,854	440,139,444	1,351,027,358
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products	20 475 540	0	20.475.540
2.2	iii. Payable to customers	20,475,510	0	20,475,510
2.2	Current Liabilities	166	0	166
	i. Statutory and regulatory dues ii. Accruals and other payables	166	0	166
	iii. Short-term borrowings	384.474.961	0	384,474,961
	iv. Current portion of subordinated loans	001,111,001		001,111,001
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
2.3	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	0	0	
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	47,430,288	0	47,430,288
2.5	Total Liabilites	452,380,927	0	452,380,927
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	(iii) the market value of securities predged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
3.4	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5				
3.3	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			
	The state of the s	l .		

for the month of 31-MAY-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 11-JUN-2018 14:46:37

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received, less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
3.11	after applying haircuts.	0		
0.10	Total Ranking Liabilites	1 004 474 007	140,400,444	000 040 404
3.12	Liquid Capital	1,301,471,927	440,139,444	898,646,431

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of 30-JUN-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 13-AUG-2018 17:45:55

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	13,807,898	13,807,898	
1.2	Intangible Assets	397,463	397,463	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	1,788,594,153	268,289,123	1,520,305,030
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money	0	0	
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)	22,640,203	22,640,203	
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,610,000	1,610,000	
1.9	or any other entity. Margin deposits with exchange and clearing house.	13,000,000	0	13,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	13,000,000	U	13,000,000
1.11	Other deposits and prepayments	31,269,347	31,269,347	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
1.14	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	74,171,747	74,171,747	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all	0	0	
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	4,991,810	0	4,991,810
1.17	Receivables from customers	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	_	,,,,,,,,,
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut	005 500	0	695,562
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	695,562	U	090,062
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	14,010,592	55,330,696	14,010,592
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.	301,909	301,909	
1.18	Cash and Bank balances	200.511		000.511
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	332,614 17,169,749	0	
	iii. Cash in hand	5,350	0	

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of 30-JUN-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 13-AUG-2018 17:45:55

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Revision: &rev_id

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	1,982,998,397	467,818,386	1,570,510,707
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	17,169,749	0	17,169,749
2.2	Current Liabilities	17,109,749	U	17,109,749
	i. Statutory and regulatory dues	756	0	756
	ii. Accruals and other payables	10,380,332	0	10,380,332
	iii. Short-term borrowings	598,518,547	0	598,518,547
	iv. Current portion of subordinated loans	0	0	
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
0.0	ix. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans			
2.4	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	72,577,530	0	72,577,530
2.5	T . 11: 195	698,646,914	0	698,646,914
2.5	Total Liabilites	098,040,914	U	698,646,914
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
2.6	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO Repo adjustment			
	propo agustinont			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of 30-JUN-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 13-AUG-2018 17:45:55

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collaterall pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites Liquid Capital	0 1,284,351,483	0 467,818,386	871,863,793

for the month of 31-JUL-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 13-AUG-2018 16:50:57

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
1.1	Property & Equipment	16,604,898	16,604,898	
1.2	Intangible Assets	397,463	397,463	
1.3	Investment in Govt. Securities		, , , , , ,	
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than: i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	1,748,899,915	270,731,750	1,478,168,165
	respective securities whichever is higher.	, , ,		, , ,
	ii. If unlisted, 100% of carrying value.			
	iii. Subscription money against Investment in IPOloffer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	610,000	610,000	
1.9	or any other entity. Margin deposits with exchange and clearing house.	15,000,000	0	15,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	10,000,000	O O	10,000,000
1.11	Other deposits and prepayments	7,500,000	7,500,000	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	0	0	
	ii. Receivables other than trade receivables	97,167,744	97.167.744	
1.16	Receivables from clearing house or securities exchange(s)	07,107,711	07,107,711	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all	10,008,088	10.008.088	
	markets including MtM gains.	11,000,000	, ,	
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	11,655,820	0	11,655,820
	iv. Balance sheet value	,,	-	
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	858,231	17,544,006	858,231
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
1	production from an obligatoral artor apprying yair parou Hallouth.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances			
1.18		472,473	0	472,473
1.18	Cash and Bank balances	472,473 16,825,364 2,316		472,473 16,825,364 2,316

for the month of 31-JUL-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 13-AUG-2018 16:50:57

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	1,926,002,312	420,563,949	1,522,982,369
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable against levelaged market products	16,824,236	0	16,824,236
2.2	Current Liabilities	.,. ,		, , , , , , , , , , , , , , , , , , , ,
	i. Statutory and regulatory dues	1,438	0	1,438
	ii. Accruals and other payables iii. Short-term borrowings	446,110,984	0	446,110,984
	iv. Current portion of subordinated loans	440,110,904	U	440,110,904
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP	152,692,091	0	152,692,091
	ii. Substantated todris writeri do not runiii trie condidoris specified by Secr	102,002,001	0	102,032,031
2.5	Total Liabilites	615,628,749	0	615,628,749
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing			
0.2	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	lorrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

for the month of 31-JUL-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 13-AUG-2018 16:50:57

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collaterall pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
3.10	extent not already met Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	1,310,373,563	420,563,949	907,353,620

for the month of 31-AUG-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 11-SEP-2018 11:46:58

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	16,604,898	16,604,898	
1.2	Intangible Assets	397,463	397,463	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	1,812,721,479	280,435,132	1,532,286,347
	respective securities whichever is higher.	1,012,121,110		.,,,,
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	610,000	610,000	
1.0	or any other entity.	,	010,000	
1.9	Margin deposits with exchange and clearing house.	16,000,000	0	16,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	7,500,000	7,500,000	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	1,000,000	. ,000,000	
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
1.16	ii. Receivables other than trade receivables	97,167,745	97,167,745	
1.10	Receivables from clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all	13,871,319	13,871,319	
	markets including MtM gains.	10,071,019	13,071,319	
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	12,142,949	0	12,142,949
	iv. Balance sheet value	, ,		
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	3,012,868	100,395,123	3,012,868
	value of securities purchased for customers and neid in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1 10	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances i. Bank Balance-proprietory accounts	827,545	0	827,545
	ii. Bank balance-customer accounts	25,216,661	0	
	iii. Cash in hand	2,316	0	

for the month of 31-AUG-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 11-SEP-2018 11:46:58

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1.19	Total Assets	2,006,075,243	516,981,680	1,589,488,686
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	25,216,662	0	25,216,662
2.2	Current Liabilities	23,210,002	0	23,210,002
	i. Statutory and regulatory dues	1,994	0	1,994
	ii. Accruals and other payables	2	0	
	iii. Short-term borrowings	510,542,611	0	510,542,611
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP	130,323,184	0	130,323,184
2.5	Total Linkilitan	666,084,453	0	666,084,453
3	Total Liabilites	000,004,433	0	000,004,433
	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
3.3	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
3.4	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary The amount by which the total exerts of the subsidiary (excluding any amount due from the subsidiary)			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

for the month of 31-AUG-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 11-SEP-2018 11:46:58

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collaterall pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
3.11	after applying haircuts.	0	0	
	Total Ranking Liabilites		0	200 40 (
3.12	Liquid Capital	1,339,990,790	516,981,680	923,404,233

for the month of 30-SEP-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 03-OCT-2018 13:51:54

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	16,867,399	16,867,399	
1.2	Intangible Assets	397,463	397,463	
1.3	Investment in Govt. Securities			
1.4	Investment in Debt. Securities If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than: i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	2,128,974,477	328,834,490	1,800,139,987
	respective securities whichever is higher.	2,120,974,477	320,034,490	1,000,139,907
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	610,000	610,000	
	or any other entity.	40.050.000		40.050.000
1.9 1.10	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	18,353,260	0	18,353,260
1.11	Other deposits and prepayments	7,500,000	7,500,000	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	0	0	
	ii. Receivables other than trade receivables	97,372,530	97,372,530	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.	4,755,538	4,755,538	
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	13,550,385	0	13,550,385
	iv. Balance sheet value			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	512,961	37,803,273	512,961
	value of securities purchased for customers and neid in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties.			
1.10	Cash and Bank balances i. Bank Balance-proprietory accounts	730,564	0	730,564
	ii. Bank balance-customer accounts	15,465,837	0	15,465,837
	iii. Cash in hand	2,316	0	2,316

for the month of 30-SEP-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 03-OCT-2018 13:51:54

Total Access Committee C	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
English pagents (responsed market products 15,466,837 0 15,466,837	1.19	Total Assets	2,305,092,730	494,140,693	1,848,755,310
E-Payable to exchange and clearing house	2	Liabilities			
Peyable against leveraged maket products 15.485.837 0.15.485	2.1				
# Projects to customers					
Current Liabilities Setup and requisition dues Set 0 Set			15.465.837	0	15,465,837
i. Accurack and other payebles i. Short-term biomoving i. Short-term biomoving i. Short-term biomoving ii. Short-term biomoving ii. Short-term biomoving ii. Povision for based debts iii. Povision for based for based debts iii. Povision for based debts iii. Povision for based debts iii. Povision for based for based debts iii. Povision for based for based for based debts iii. Povision for based for based for based debts iii. Povision for based	2.2		, ,		,,
iii. Short-term borowings V. Curent portion of subscellinated loans V. Curent portion of subscellinated loans V. Curent portion of long term labilities V. Curent portion of long term labilities Vi. Provision for bad debts Vii. Provision for bad debts Viii. Provision for bad			586		586
V. Current portion of subordinated cleans V. Current portion of subordinated cleans V. Current substities V. Current s					2
v. Curent portion of long term liabilities vi. Determed Liabilities vi. Provision for bits disease vi. Other Liabilities vi. Current Liabilities vi. Long-lerm financing a. Long-lerm financing distanced from financial institution. Long term portion of financing obtained from a financial institution including amount due against financial elease vi. Current Liabilities vi. Long-lerm financing vi. Vi. Christial libration vi. Long-lerm vi. Long-lerm financing vi. Vi. Christial libration vi. Long-lerm vi. Long-lerm financing vi. Vi. Christial libration vi. Long-lerm vi. Long-lerm vi. Long-lerm financing vi. Vi. Long-lerm financing vi.			998,835,709	U	998,835,709
vii. Provision for bad debts viii. Provision for taxaation viii. Cornel Liabilities as per accounting principles and included in the financial statements viii. Cornel Liabilities as per accounting principles and included in the financial statements viii. Long-Term financing viiii. Long-Term financing viiii. Long-Term financing viiii. Long-Term financing viiii. Long-Term financing		v. Current portion of long term liabilities			
via. Provision for taxastion via. Other (bibilities as per accounting principles and included in the financial statements via. Non-Current Liabilities long-form financing a. Long-form financing a. Long-form financing obtained from financial institution. Long term portion of financing obtained from a financial institution including amount due against financie lease via. Staff retirement benefits via. Authorica egainst shares for increase in Capital of Securities broken 100% helicult may be allowed in respect of advance against shares if: via. The control of the control of the capital in the capital included in the proposad enhanced share capital via. Retermine against shares if: via. The capital increase in part of the capital have been obtained via. Retermine the control of the capital have been obtained via. Retermine the capital have been obtained via. Audior six stabled that what whence is a spaint the increase of capital via. Audior six stabled that what what what is a spaint the increase of capital via. Audior six stabled that what what what is expired to the increase of capital via. Audior of subredinated loans which fulfill the conditions specified by SCP are allowed to be deducted: The Schedule Ill provides that 100% haticut will be allowed against submit on sar opening to the increase in the conditions specified by SCP. In this regard, following conditions are specified. via. Long of subredinated loans which fulfill the conditions specified by SCP. In this regard, following conditions are specified. via. Long of early payment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be sewcuted on stamp paper and must clearly reflect the amount to be repaid via. Long of early payment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. via. Submidinated loans which do not fulfill the conditions specified by SCP. via. Statement will be allowed against short the myoritor which is repayable wi					
S. Other liabilities as per accounting principles and included in the financial statements					
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Net underwriting Commitments		(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
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price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO	3.3				
(ii) the 50% of Haircut multiplied by the underwriting commitments and (iii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO					
In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		(i) the 50% of Haircut multiplied by the underwriting commitments and			
the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
(b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	3.4				
3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					\neg
5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	3.5				
assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	3.3				
3.6 Amount Payable under REPO					
3.1 Repo adjustment		Amount Payable under REPO			
	3.7	Repo adjustment			

for the month of 30-SEP-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 03-OCT-2018 13:51:54

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collaterall pledged with securities exchange after applyiong VaR haircuts			
3.10	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met Short sellI positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts iii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	1,212,426,369	494,140,693	756,088,949

for the month of 31-OCT-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 05-NOV-2018 16:36:21

1.1 1 1 1 1 1 1 1 1 1	Assets Property & Equipment	Pak Rupee	Adjustments	Value
1.1 1.2 1 1.3 1 1.4 1 1 1 1 1 1 1 1 1				
1.1 [1.2 1 1.3 1 1.4 1 1 1 1 1 1 1 1 1				
1.2 I 1.3 I 1.4 I		16,867,399	16,867,399	
1.3 1.4	Intancible Assets	397.463	397,463	
l li	Investment in Govt. Securities			
	Investment in Debt. Securities			
'	If listed than:			
1 12	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
-	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
. -	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	1,575,258,242	248,820,568	1,326,437,674
	respective securities whichever is higher.	1,010,200,212	2.0,020,000	1,020,101,01
	ii. If unlisted, 100% of carrying value.			
	iii. Subscription money against Investment in IPO offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are		1	
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged		1	
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
	Investment in subsidiaries			
1.	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.			
	ii. If unlisted, 100% of net value.			
	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	610,000	610,000	
	or any other entity.	·		
	Margin deposits with exchange and clearing house.	14,500,000	0	14,500,000
	Deposit with authorized intermediary against borrowed securities under SLB.	7 500 000	7 500 000	
	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	7,500,000	7,500,000	
	etc.(Nil)		1	
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
	Dividends receivables.			
	Amounts receivable against Repo financing.		1	
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	is short form Educate Employees. Education and Secured and Secure To repayment within 12 months		1	
. <u>l</u> i	ii. Receivables other than trade receivables	97,168,134	97,168,134	
1.16 F	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all	11,518,914	11,518,914	
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1 1.	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)		1	
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	12,211,146	0	12,211,146
	iv. Balance sheet value	. =,= ,		
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	1,699,454	39,412,133	1,699,454
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	804,290	0	804,290
	ii. Bank balance-customer accounts	26,218,033		26,218,033
'	iii. Cash in hand	2,316		

for the month of 31-OCT-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 05-NOV-2018 16:36:21

2.1 Index payables 2.1 Index payables 3.1 Index payables 3.2 Index payables 3.2 Index payables 3.2 Index payables 3.3 Index payables concludes 4.2 Index payables concludes 4.2 Index payables concludes 4.3 Index payables concludes 4.3 Index payables concludes 4.4 Index payables concludes 4.5 Index payables 4.7 Index payabl	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Ended Polyables	1.19	Total Assets	1,764,755,391	422,294,611	1,381,872,913
L. Prysible to exchanges and clearing house L. Prysible to extraormes	2	Liabilities			
ii. Projette opations to leveraged market products iii. Projette to customers iii. Projette to customers iii. Projette to customers iii. Start curn tomowing iii. Start start curn to fire the start in the start curn to the star	2.1				
ii. Proyeble to customen					
Current Liabilities Laturus and regulator dies 1,390 0 1			26.218.033	0	26,218,033
ii. Accruals and other payables iii. Accruals and other payables iv. Current portion of subcontrated loarn iv. Current portion of subcontrated loarn iv. Current portion of subcontrated loarn iv. Deference Liabilities vii. Provision for baseline viii. Provision for baseline viii. Provision for baseline viii. Provision for baseline viii. Current Liabilities viii. Provision for baseline viii. Current Liabilities viii. Provision for baseline viii. Pro	2.2				
ii. Short-term borrowings			1,390		
iv. Current portion of suboderinated loans v. Current portion of suboderinated loans v. Defended Liabilities vi. Defended Liabilities vii. Defended Liabilities viii. Long-Term financing obtained from financial institution: Long torm portion of financing obtained from a financial institution including amount due agents financial elabilities of the defended liabilities liabilities liabilities liabilities liabilities liabilities liabi			474 072 005		
v. Current potion of long term liabilities via. Provision for baid debts via. Current Liabilities via. Current Liabilities via. Current Liabilities via. Li Long-Term financing a. Long-Term financing obtained from financial institution. Long term potion of financing obtained from a financial institution including amount due against finance base b. Office from, term financing via. Li Androne against shares off increase in Capital of Securities brokes 100% haircut may be allowed in respect of advance against shares off via. Androne against shares office and office office of the company has approved the increase in capital b. Boad of Dector of the company has approved the increase in capital d. There is no runreasonable delay in issue of share capital advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. v. Other liabilities as par accounting principles and included in the financial statements v. Other liabilities as par accounting principles and included in the financial statements v. Other liabilities as par accounting principles and included in the financial statements v. Other liabilities as par accounting principles and included in the financial statements v. Other liabilities as par accounting principles and included in the financial statements v. Other liabilities as par accounting principles and included in the financial statements v. Other liabilities as par accounting principles and included in the financial statements v. Other liabilities as part accounting principles and included in the financial statements v. Other liabilities as part and via the development of the conditions specified by SECP v. Other liabilities for the via the conditions specified by SECP v. In this segal, of flowing in condition as as specified; v. In case of early propyment of inan, agistament shell be made to the l			471,073,000	0	471,073,000
wii. Provision for bad debts wii. Other liabilities as per accounting principles and included in the financial statements 2. Non-Curron Liabilities a Long-Term financing but the control of the control o		v. Current portion of long term liabilities			
will. Provision for Lusastien 8. Other liabilities as per accounting principles and included in the financial statements 1. Long-Term financing 1. Long-Term financing 1. Long-Term financing 1. Long-Term financing obtained from financial institution. Long term portion of financing obtained from 2. Inflancial institution including amount due against finance lease 3. Other long-Term financing 1. Advance against states if: 2. In low sixting authorised shee capital allows the proposed enhanced shee capital 3. Because against shaes if: 3. In low sixting authorised shee capital allows the proposed enhanced shee capital 4. Because against shaes if: 3. In low sixting authorised shee capital allows the proposed enhanced shee capital 5. Because Regulstory approved here been obtained 6. Residual of the company has approved the increase in capital 6. Residual of the company has approved the increase in capital 7. Residual of the company has approved the increase in capital 8. Residual of the company has approved the increase in capital 8. Residual of the company has approved the increase in capital 9. Residual of the company has approved the increase in capital 9. Residual of the company has approved the increase in capital 1. Residual of the company has approved the increase in capital 1. Residual of the company has approved the increase in capital 2. Residual of the company has approved the increase in capital 2. Auditor the inabilities as per accounting principles and included in the financial statements 3. Long for Subcontinated loans which fulfill the conditions specified by SECP are allowed to be deducted: 1. Residual the company has approved the conditions specified by SECP are allowed to be deducted: 1. Residual the conditions specified by SECP are allowed to be deducted: 1. Residual the conditions approved the conditions are specified. 2. Subcontinated loans which fulfill the conditions are specified. 3. Residual the conditions are specified. 4. The conditions are specified by SECP a					
To Chee Itabilities as per accounting principles and included in the financial statements					
i. Long-Term financing a Long-Term financing obtained from financial institution Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing iii. Staff retirement benefits iiii. Advance against shares for increase in Capital of Securities broker 100% halicut may be allowed in respect of advance against shares if: a) The solvating authorized share capital platows the reposed enhanced share capital is. The solvating authorized share capital share capital capi					
a Long-Term financing obtained from financial institution Long term portion of financial postaned from a financial institution including amount due against finance lease b. Other long-term financing ii. Staff reterment bonofts iii. Advance against shares for Incese in Capital of Securities broker: 100% halicuit may be allowed in staff reterment bonofts. a he assting authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. N. Other slabilities as per accounting principles and included in the financial statements 2.4 Subordinated Loans L. TORS of Subordinated loans wide hulfill the conditions specified by SCP are allowed to be deducted: conditions specified by SCP in this regard following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be secuted on exchange. ii. Subordinated loans which donor furfill the conditions specified by SCP 79.927.451 2.5 Total Liabilities 577,220.541 0 79.927 2.5 Total Liabilities Relating to: 3.1 Concentration in Margini Financing he harmount calculated client to -client basis by which any amount receivable from any of the financese occeed this of the aggings and some client to a financing he harmount by which the aggings and some client to a financing in a market value of securities pledged as margins exceed the 110% of the market value of shares bonowed 3.3 Net underwri	2.3	Non-Current Liabilities			
a financial institution including amount due against finance lesse b. Other long-term financing ii. Staff resterenent benefits iii. Advonce against shewes for increase in Capital of Securities broker: 100% halicut may be allowed in least of the company has a provided in the control of the company has a provided in the control of the company has approved the increase in capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. A. Auditor is staffed that such advance a against the increase of capital. 2.4. Stocker is staffed that such advance a signation the increase of capital. 2.5. Stocker is a company of the company has a signation and included in the financial statements. 3. Stocker is staffed that such advance a signation the increase of capital. 2. Stocker is staffed that such advance a signation the increase of capital. 3. Stocker is staffed that such advance a signation that is separated by SECP are allowed to be deducted. The Schedule III provides that 100% halicut will be allowed against subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted. The Schedule III provides that 100% halicut will be allowed against short term portion which is repayable within next 12 months. c. in case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid clinic to cinc case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid clinic to cinc case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid clinic to cinc case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Cap					
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ii. Staff retirement benefits iii. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Discrots of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained c. Relevant Regulatory approvals have been obtained releating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. w. Other liabilities as per accounting principles and included in the financial statements i. 100% of Subordinated Loans i. 100% of Subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted: the Schedule Ill products that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period after 12 months of reporting period b. No haircut will be allowed againsts, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 79.927,451 3.1 Concentration in Margin Financing The amount calculated client -toclient basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financess. 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financess. 3.3 Net underwriting Commitments (ii) In market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (iii) In mark					
respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directos of the company has approved the increase in capital c. Relevent Regulatory approved have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed e. Auditor is satisfied that such advance is against advance and all regulatory requirements relating to the increase in paid up capital have been completed. v. Other liabilities as per accounting principles and included in the financial statements U. Other liabilities are part accounting principles and included in the financial statements U. Other liabilities are part accounting principles and included in the financial statements U. Other liabilities are part accounting production and septiments U. Other liabilities are part of the conditions are specified. a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid as the conditions specified and load against short term portion which is repayable within next 12 months. b. No haircut will be allowed against hot term portion which is repayable within next 12 months. c. In case of early repayment of loan adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 2.5 Iotal Liabilities Relating to: Concentration in Margin Financing Ramking Liabilities Relating to: Concentration in Margin Financing Ramking Liabilities Relating to: Concentration in securities lending and borrowing Reamount by which the aggregate of amounts receivable from total financees. Concentration in securities pledged as margins exceed the 110% of the market value of shares borrowed. 3. Not underwriting Commitments (a) In the case of right issue: if the market value of securities is less than or equal to the					
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b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. v. Other liabilities as per accounting principles and included in the financial statements 3. JONG of Subordinated Loans in the Subordinated Loans which fulfill the conditions specified by SECP. In this regular conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period. after 12 months of reporting period which is respeciable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP. 79,927.451 3. Ronking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financees. Concentration in securities lending and borrowing The amount by which the underwriting commitments and (i) the value by which the underwriting commitments and (ii) the value by which the underwriting commitm					
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3.6 Amount Payable under REPO					
		Amount Payable under REPO			
3.7 Repo adjustment	3.7	Repo adjustment			

for the month of 31-OCT-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 05-NOV-2018 16:36:21

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received, less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collaterall pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12		1,187,534,850	422,294,611	804,652,372
J. 1Z	Liquid Capital	1,107,334,030	422,294,011	004,002,072

for the month of 30-NoV-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 17-DEC-2018 19:05:53

iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts 1,224,758 ii. Bank balance-customer accounts 15,576,097 0 15,576,097	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.1. Interestment in Court. Securities 1.3. Interestment in Court. Securities in the case of tenue from 1.2 years. 1.3. Interestment in Court. Securities in the case of tenue from 1.2 years. 1.3. Interestment in Court. Securities in the Court of tenue growth of the Court. Securities in		Assets			
Integration in Cook Securities			16,867,399	16,867,399	
Investment in Debt. Securities			397,463	397,463	
Fill stated tham:					
1.5% of the belance sheet value, in the case of tenue upto 1 year.					
E. 10% of the balance sheet value, in the case of fenue up to 1 year.		i. 5% of the balance sheet value in the case of tenure upto 1 year.			
Full Indisted than: 1.75% of the basines sheet value in the case of tenure upto 1 year.					
1.10% of the balance sheet value in the case of fenue upto 1 year. 1.12% of the balance sheet value, in the case of fenue front 1-3 years. 1.15% of the balance sheet value, in the case of fenue of more short 1-3 years. 1.15% of the balance sheet value, in the case of fenue of more short 1 years. 1.15% of the balance sheet value, in the case of fenue of more than 1 years. 1.15% of the balance sheet value, in the case of fenue of more than 1 years. 1.15% of the balance sheet value, in the case of fenue of more than 1 years. 1.15% of the balance sheet value in the case of fenue of more than 1 years. 1.15% of the balance sheet value in the case of fenue of the case of fenue than 1 years. 1.15% of the balance sheet value in the case of fenue of the case of fenue than 1 years. 1.15% of the balance sheet value in the case of fenue than 1 years. 1.15% of the balance sheet value in the case of fenue than 1 years. 1.15% of the balance sheet value in the case of fenue than 1 years. 1.15% of the balance sheet value in the case of fenue than 1 years. 1.15% of the balance sheet value in the case of fenue than 1 years. 1.16% of the balance sheet value in the case of fenue than 1 years. 1.16% of sheet and 100% hack that one to be policied in case of investicies which are Pledged in favor of Stock Exchange Cleaning House against Margin Financing requirements or pledged in favor of Stock Exchange Cleaning House against Margin Financing requirements or pledged in favor of Stock Exchange of investment in accustes shall be applicable (August 25, 207) 1.16% investment in associated companies bunderaking and the paperbalance (August 25, 207) 1.17% investment in associated companies bunderaking and the paperbalance (August 25, 207) 1.18% of the balance of the paperbalance deposits with the exchanges, cleaning house or central depository or any often entity. 1.19% of the shallow in the paperbalance deposits with the exchanges, cleaning house or central depository or any often entity. 1.19% of the shallow in the pap		·			
II. 12.9% of the balance sheet value, in the case of tenuer from 1-3 years.					
Investment in Equity Securities If instead 15% or Vak of each securities on the cutoff date as computed by the Securities Exchange for 1,344.619,188 213,491,695 1,131,127,583 000000000000000000000000000000000000		ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
Lif listed 15% or Valid of each securities in the cutoff date as computed by the Securities Exchange for expective securities withchever is higher. Lif unitsed 105% of camming value. Lif units of 150ck, freeze of Pideg status as on inporting date, (Lif Vi 13, 207). Provided that 105% habitus shall not be applied in case of investment in those securities which are Pideg of in favor of Sarks against Shot Learn financing imangements, in such case, the habitus a provided in favor of Sarks against Shot Learn financing imangements, in such case, the habitus as provided in favor of Sarks against Shot Learn financing imangements, in such case, the habitus a provided in favor of Sarks against Shot Learn financing imangements, in such case, the habitus a provided in favor of Sarks against Shot Learn financing imagements, in such case, the habitus a provided in favor of Sarks against Shot Learn financing industrial should be applied in Case of Sarks and Camming Industrial Sark	1.5				
respective securities whichever is higher. ii Fundated 105% of canding value. iii Subscription money against Investment in IPCloffer for Sale: Amount paid as subscription money provided that sheen sheen to been altoted or are not included in the investments of securities boder. The control of the state of the provided of the state of the provided in the state of th			1.344.619.188	213.491.605	1.131.127.583
ill Subscription money against investment in iPCoffer for Sale: Amount paid as subscription money govided that shares have not been alloted or are not included in the investments of securities broker. vi. 100% Baiccut shall not be applied in case of investment in any asset including shares of listed securities that are in Block, Ferce of Pedige status as on emporting date. (July 18, 2017) Provided that 100% baiccut shall not be applied in case of investment in those securities which have not been shall be applied by the securities shall not be applied in favor of Banks against Short from financing amangements. In such cases, the hearcut as provided in schedule III of the Regulations in respect of investment in subscription in such cases. The hearcut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) II westment in associated companies/underdaking I.I flisted 20% or VRR of each sociaties as computed by the Securites Exchange for respective securities whichever is higher. I.I flisted 20% or VRR of each sociaties as computed by the Securities Exchange for respective securities whichever is higher. I.I flisted 20% or VRR of each sociaties as computed by the Securities Exchange for respective securities whichever is higher. I.I flisted 20% or VRR of each sociaties as computed by the Securities Securities Securities Securities and the exchange and clearing house or central depository I.I.I flisted 20% or VRR of each securities and the exchange and clearing house or central depository I.I.I.I.I.I.I.I.I.I.I.I.I.I.I.I.I.I.I		respective securities whichever is higher.	1,2 1 1,2 12,122		.,,
provided that shares have not been alloted or are not included in the investments of socurities broker. In 18 Indicate shall be applied to Value of investment in any sest including shares of listed socurities that are in Block, Freeze of Redge status as on reporting date. (July 18, 2017) In 18 Indicate the second of investment in such cases, the harcut as provided in favor of Banks against Short form financing arrangements. In such cases, the harcut as provided in favor of Banks against Short form financing arrangements. In such cases, the harcut as provided in favor of Banks against Short form financing arrangements. In such cases, the harcut as provided in schedule life of the Requisitions in respect of investment in subsidiation. In 18 Internet in subsidiation. In 18 Internet in a subsidiation of investment in succurities shall be applicable (August 25, 2017). In 18 Internet in subsidiation of investment in succurities and in the such such such such such such such such		ii. If unlisted, 100% of carrying value.			
that are in Block, Freeze of Piedge status as on reporting date. (July 19, 2017)* Provided that 100% hazuct shall not be applied in case of investment in those securities which are Piedged in favor of Stock Exchange (Clearing House against Margin Financing requirements or piedged in favor of Braits against Short Term financing arrangements. In such cases, the hazuct as provided in schedule lift of the Regulations in respect of investment in securities shall be applicable (August 25, 2017). Investment in subscience of the Regulations in respect of investment in securities shall be applicable (August 25, 2017). Investment in in associated a Companies fundentaking in a subscience of the Regulations in respect of investment in securities with the second of the securities and the second of t		provided that shares have not been alloted or are not included in the investments of securities broker.			
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Institute Inst					
Investment in associated companies/undertaking	1.6				
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		ii. Bank balance-customer accounts iii. Cash in hand	15,576,097 2,316	0	

for the month of 30-NOV-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 17-DEC-2018 19:05:53

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Index Payables			1,532,991,105	426,849,846	1,179,926,960
Payable to exchange and closing house					
E-Provide against interespect market products 15.976,102 0 15.976,	2.1				
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Current Liabilities Security and requisitor dues Security			45 570 400	0	45 570 400
II. Statutory and incapations dues II. Account protried is subdivised and of the prospetition of subdivised (see the subdivised of	2.2		15,576,102	0	15,576,102
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Victorial Content Vict			0.2,00.,000		0.2,00.,000
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Non-Current Liabilities					
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3.1 Concentration in Margin Financing The amount calculated client - to - client basis by which any amount receivable from any of the financese seced 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) 5% of the net position in foreign currency positions 5% of the net position in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO	3	Ranking Liabilities Relating to:			
The amount calculated Client-to - client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financess. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Amount Payable under REPO	3.1				
financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) 5.5 Foreign exchange agreements and foreign currency positions 5.5 of the net position in foreign currency. Net position in foreign currency Amount Payable under REPO					
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(ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 5.5 Foreign exchange agreements and foreign currency positions 5.6 of the net position in foreign currency.Net position in foreign currency 3.6 Amount Payable under REPO					
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The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
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3.6 Amount Payable under REPO					
	2.2				
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	3.1	керо аадияннен			

for the month of 30-NoV-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 17-DEC-2018 19:05:53

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received, less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position,then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
0.10		1 122 109 506	426 940 946	760 124 261
3.12	Liquid Capital	1,122,198,506	426,849,846	769,134,361

for the month of 31-DEC-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 04-JAN-2019 12:22:38

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	16,867,399	16,867,399	
1.2	Intangible Assets	397,463	397,463	
1.3	Investment in Govt. Securities			
1.4	Investment in Debt. Securities			
	If listed than: i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	1,500,503,140	231,322,544	1,269,180,596
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	610,000	610.000	
1.0	or any other entity.	010,000	010,000	
1.9	Margin deposits with exchange and clearing house.	14,500,000	0	14,500,000
1.10 1.11	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	7,500,000	7,500,000	
1.11	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	7,300,000	7,300,000	
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.13	Amounts receivables against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.)	0	0	
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	0	0	
	ii. Receivables other than trade receivables	97,168,134	97,168,134	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all	2,971,943	2,971,943	
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	14,049,404	0	14,049,404
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	1,793,236	67,127,903	1,793,236
	value of securities purchased for customers and held in sub-accounts after applying VAR based	1,793,230	01,121,903	1,793,230
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances			
1.10	i. Bank Balance-proprietory accounts	696,268	0	696,268
	ii. Bank balance-customer accounts	9,491,025	0	
	III. Darik Dalance-Customer accounts	3,431,023	0	

for the month of 31-DEC-18

of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 04-JAN-2019 12:22:38

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	1,666,550,328	423,965,386	1,309,712,845
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable against levelaged market products	9,491,026	0	9,491,026
2.2	Current Liabilities	-, - , -		, , ,
	i. Statutory and regulatory dues	829	0	829
	ii. Accruals and other payables iii. Short-term borrowings	677,000,557	0	2 677,000,557
	iv. Current portion of subordinated loans	077,000,557	0	077,000,337
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP	20,995,039	0	20,995,039
	ii. Substantated todris writeri do not runiii trie condidoris specified by Secr	20,000,000	ŭ	20,555,005
2.5	Total Liabilites	707,487,453	0	707,487,453
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees. Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
3.4	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

for the month of 31-DEC-18 of M/s. DJM Securities (Pvt.) Ltd.

Submission Date 04-JAN-2019 12:22:38

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financieripurchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financeelseller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collaterall pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	959,062,875	423,965,386	602,225,392